

## **ANNEXURE A: FINANCIAL SERVICES NECESSARY TO MAINTAIN THE FUNCTIONING OF THE JSE**

### **Authorised users of the JSE (including brokers, banks, including trading and clearing members)**

1. 28 Degrees South Capital (Pty) Ltd
2. ABSA Bank Ltd
3. ABSA Capital Securities (Pty) Ltd
4. ABSA Stockbrokers and Portfolio Management (Pty) Ltd
5. Afgri Operations Ltd
6. Afrifocus Securities (Pty) Ltd
7. AG Institutional Brokers (Pty) Ltd
8. Agricultural Services Corp Ltd
9. Allem Brothers (Pty) Ltd
10. Alpha Derivatives CC
11. Anchor Stockbrokers (Pty) Ltd
12. Anglorand Securities Ltd
13. Applied Derivatives (Pty) Ltd
14. Argon Securities (Pty) Ltd
15. Arqaam Capital South Africa (Pty) Ltd
16. Atisa Securities (Pty) Ltd
17. Avior Capital Markets (Pty) Ltd
18. Bester Derivative Trading (Pty) Ltd
19. Bester Feed & Grain (Pty) Ltd
20. BGC SA Financial Brokers (Pty) Ltd
21. BNK Agriculture Ltd
22. Boland Agri (Edms) Bpk
23. BP Bernstein (Pty) Ltd
24. Brent Trading (Pty) Ltd
25. Brisen Financial Services (Pty) Ltd
26. Bsec Derivative Brokers (Pty) Ltd
27. BUI Medical & Technology Suppliers (Pty) Ltd
28. BVG Commodities (Pty) Ltd
29. Cargill RSA (Pty) Ltd
30. Cazenove South Africa (Pty) Ltd
31. Cirrus Securities (Proprietary) Limited
32. Citibank N.A. South Africa
33. Citigroup Global Markets (Pty) Ltd
34. CJS Securities (Pty) Ltd
35. Computershare Outsourcing (Pty) Ltd
36. Constellation Capital (Pty) Ltd
37. Corn International (Pty) Ltd
38. Coronation Asset Management (Pty) Ltd
39. Cratos Derivatives (Pty) Ltd
40. Cratos Institutional (Pty) Ltd

41. CRK Agriculture Ltd
42. Derived Market Investment & Planning (Pty) Ltd
43. Deutsche Bank AG Johannesburg Branch
44. Deutsche Securities (Pty) Ltd
45. DHJ Grain Brokers (Pty) Ltd
46. DWT Securities (Pty) Ltd
47. Eskom Pension and Provident Fund
48. FFO Securities (Pty) Ltd
49. Fixnetix Ltd
50. FNB Stockbroking and Portfolio Management (Pty) Ltd
51. Foord Asset Management (Pty) Ltd
52. F-Wise Capital (Pty) Ltd
53. GL Trade South Africa Pty Ltd
54. GroCapital Broking Services (Pty) Ltd
55. GWK Trading (Pty) Ltd
56. HSBC Bank Plc Johannesburg Branch
57. HSBC Securities (South Africa) (Pty) Ltd
58. ICAP Securities South Africa (Pty) Ltd
59. IJG Securities (Pty) Ltd
60. Independent Securities (Pty) Ltd
61. Intrepid Capital (Pty) Ltd
62. Investec Bank Limited
63. Investec Markets (Pty) Ltd
64. IRESS Financial Markets (Pty) Ltd
65. JP Morgan Chase Bank, N.A. Johannesburg Branch
66. JP Morgan Equities South Africa (Pty) Ltd
67. Kaon Capital (Pty) Ltd
68. Khumo Securities (Pty) Ltd
69. Lefika Securities (Pty) Ltd
70. Legae Peresec (Pty) Ltd
71. Legae Peresec Derivatives (Pty) Ltd
72. Macquarie Securities South Africa Ltd
73. MCI Consultants (Pty) Ltd
74. Mergence Africa Capital (Pty) Ltd
75. Merrill Lynch South Africa (Pty) Ltd
76. MGK Bedryfsmaatskappy (Edms) Bpk
77. MKB Moorreesburgse Koringboere
78. Momentum Securities (Pty) Ltd
79. Navigare Securities (Pty) Ltd
80. Ned Settle Services (Pty) Ltd
81. Nedbank Limited
82. Nedgroup Private Wealth Stockbrokers (Pty) Ltd
83. Nedgroup Securities (Pty) Ltd

84. Noah Capital Markets (Pty) Ltd
85. NTK
86. NVest Securities (Pty) Ltd
87. NWK Ltd
88. Oakleaf Capital (Pty) Ltd
89. Old Mutual Life Assurance Co SA Limited
90. Oos-Kaap Boerdery & Graanhandelaars (Edms) Bpk
91. Options Technology Limited
92. Philippus de Witt (Pty) Ltd
93. Pico Global Ltd
94. Prescient Securities (Pty) Ltd
95. PSG Securities Ltd
96. Rand Merchant Bank, a Division of FirstRand Bank Limited
97. Rencap Securities (Pty) Ltd
98. Ridgcape Capital (Pty) Ltd
99. RMB Morgan Stanley (Pty) Ltd
100. RMB Securities (Pty) Ltd
101. RMB Stockbroking Operations (Pty) Ltd
102. Robinson Mulder de Waal Financial Services (Pty) Ltd
103. Russellstone Group (Pty) Ltd
104. Russellstone International (Pty) Ltd
105. SA Derivatives (Pty) Ltd
106. SA Stockbrokers (Pty) Ltd
107. Sanlam Investment Management (Pty) Ltd
108. Sanlam Private Wealth (Pty) Ltd
109. Sanlam Securities (Pty) Ltd
110. Sasfin Securities (Pty) Ltd
111. SBG Securities (Pty) Ltd
112. Schoeman Boerdery
113. Sentraal-Suid Koop
114. Senwes Graanmakelaars (Pty) Ltd
115. Senwes Ltd
116. Sigma Option Writers (Pty) Ltd
117. Sinayo Securities (Pty) Ltd
118. Siyanda Capital (Pty) Ltd
119. Societe Generale Johannesburg Branch
120. Standard Chartered Bank Johannesburg Branch
121. STANLIB Asset Management (Pty) Ltd
122. Suidwes Landbou (edms) Bpk
123. Suidwes Makelary (Pty) Ltd
124. Sygnia Securities (Pty) Ltd
125. Taquanta Securities (Pty) Ltd
126. The Standard Bank of South Africa Limited

127. Thebe Stockbroking (Pty) Ltd
128. Tradition Government Bond Brokers & Derivatives Brokers (Pty) Ltd
129. Tribe Capital (Pty) Ltd
130. Tuinroete Agri
131. TWK Agriculture Ltd
132. UBS South Africa (Pty) Ltd
133. Unigrain Trading (Pty) Ltd
134. Vanguard Derivatives (Pty) Ltd
135. VKB Graan (Pty) Ltd
136. Vorlon (Pty) Ltd
137. Vrystaat Kooperasie Bpk
138. Vunani Securities (Pty) Ltd
139. WPK Agriculture Ltd
140. Zargoscape (Pty) Ltd

**Central Securities Depository Participant (CSDP)**

1. ABSA
2. Citibank
3. Standard Bank
4. Standard Chartered
5. First National Bank
6. Link
7. Computershare
8. Nedbank
9. South African Reserve Bank
10. Eskom

**Clearing Members**

1. ABSA
2. Standard Bank
3. Rand Merchant Bank
4. Nedbank
5. JP Morgan
6. Merrill Lynch
7. Societe Generale
8. Investec

**Securities Lending Desks**

1. ABSA Bank Ltd
2. AG Capital (Pty) Ltd
3. Axon/ORG
4. Investec Bank Ltd
5. HSBC
6. Navigare

7. Nedbank Ltd
8. Optimize Financial Services
9. Rand Merchant Bank, a division of FirstRand Bank Ltd
10. Sanlam Investment Management
11. Sygnia Securities.
12. The Standard Bank of South Africa Limited
13. Zarclear Securities Lending (Pty) Ltd

**Central Securities Depository**

1. Strate

**Central Bank Payment System**

1. ARB Samos
2. PASA

**Trading and Clearing Software Providers**

1. MCI
2. FIS Global
3. Options IT
4. Pico Global
5. IRESS
6. DMA
7. MTN
8. RMB
9. Internet Solutions
10. IBM
11. Nedbank
12. Liquid Telecom
13. EOH
14. BUI
15. Fixnetix
16. Estuary
17. Agribase
18. Diro
19. Bloomberg
20. Fidessa
21. STT
22. Synthesis Technologies
23. Refinitive
24. ITIVITI
25. Cortical Systems

**Entities listed on the JSE, in respect of capital raising and transactions**

**Entities that publish announcements for listed companies**

1. INCE
2. Bastion
3. Studio 5
4. Max Communication
5. Purple Frog
6. HKLM
7. Wounded Buffalo
8. Maxx
9. Green Grass Design

**Data Vendors**

1. Bloomberg Finance L.P.
2. Refinitiv
3. IRESS South Africa Pty Ltd
4. Factset Research Systems Inc
5. Morningstar Real-Time Data Limited
6. Infront SA
7. FIS Financial Systems (France) SAS
8. MCI Consultants (Pty) Ltd
9. Profile Data Technology (Pty) Ltd
10. Sharenet (Pty) Ltd
11. IG Markets South Africa (Pty) Ltd
12. QuickTrade (Pty) Ltd
13. First World Trader (Pty) Ltd
14. New Age Investments (Pty) Ltd t/a PDSnet
15. SCM DMA (Pty) Ltd (old Saxo Capital Markets South Africa (Pty) Ltd)
16. Fixnetix Ltd
17. Timbukone (Pty) Ltd
18. Equity RT
19. Estuary Solutions (Pty) Ltd
20. InvestorData (Pty) Ltd
21. BVG Commodities (Pty) Ltd
22. BT Communications Services South Africa (Pty) Ltd
23. Dunamis Financial Services (Pty) Ltd t/a Share Direct

**Data Clients**

1. SBG Securities (Pty) Ltd
2. Investec Securities (Pty) Ltd
3. Satrix Managers (RF) (Pty) Ltd
4. Nedbank Group Limited
5. PSG Securities Ltd
6. Nedgroup Private Wealth Stockbrokers (Pty) Ltd

7. Velocity Trade Capital (Pty) Ltd
8. Legae Peresec (Pty) Ltd (old Peregrine Equities (Pty) Ltd)
9. FNB Stockbroking and Portfolio Management (Pty) Ltd (old FNB Securities (Pty) Ltd)
10. Momentum Securities (Pty) Ltd
11. Thebe Stockbroking (Pty) Ltd
12. Afrifocus Securities (Pty) Ltd
13. Absa Stockbrokers and Portfolio Management (Pty) Ltd
14. Sanlam Investment Management (Pty) Ltd (a division of Sanlam life)
15. SA Stockbrokers (Pty) Ltd
16. F-Wise Capital (Pty) Ltd
17. YEBOYETHU(RF) LIMITED
18. Bsec Derivative Brokers (Pty) Ltd
19. Senwes Ltd
20. Unigrain Trading (Pty) Ltd
21. Afgri Operations Ltd
22. Suidwes Landbou (Edms) Bpk
23. Bester Derivative Trading (Pty) Ltd
24. Bester Feed & Grain (Pty) Ltd
25. Russellstone Group t/a Grainvest Futures

**Sponsors and Designated Sponsors**

1. ABSA Capital
2. Arbor Capital Sponsors (Pty) Ltd
3. Bravura Capital (Proprietary) Limited
4. Bridge Capital Advisors (Pty) Ltd
5. Dea-Ru Proprietary Limited
6. Deloitte & Touche Sponsor Services (Pty) Ltd
7. Exchange Sponsors (2008)(Pty) Ltd
8. Grindrod Bank Limited
9. Investec Bank Limited
10. Java Capital Trustees & Sponsors Pty Ltd
11. JP Morgan Equities South Africa (Pty) Ltd
12. KPMG Services Proprietary Limited
13. Merchantec Capital
14. Merrill Lynch Sa (Pty) Limited
15. Mettle Specialised Finance (Pty) Ltd
16. National Treasury (Republic Of Sa
17. Nedbank Capital A Division Of Nedbank Limited
18. Nedbank Corporate & Investment Banking (A Division Of Nedbank Ltd)
19. Nodus Capital (Pty) Ltd
20. One Capital Sponsor Services (Proprietary) Ltd
21. Pallidus Capital Proprietary Limited
22. Pricewaterhousecoopers Corporate Finance (Pty) Ltd

23. PSG Capital (Pty) Ltd
24. PSG Capital (Pty) Ltd
25. Questco (Pty) Ltd / Questco Corporate Advisory (Pty) Ltd
26. Questco (Pty) Ltd / Questco Corporate Advisory (Pty) Ltd
27. Rand Merchant Bank
28. Rand Merchant Bank Corporate Finance Division
29. Redinkcapital (Pty) Ltd
30. Rencap Securities (Pty) Limited
31. River Capital Partners (Pty) Ltd
32. Rothschild (South Africa) (Pty) Ltd
33. Sanlam Capital Markets (Pty) Ltd
34. Sasfin Bank Ltd
35. Sasfin Capital Proprietary Limited
36. Standard Bank Of SA Ltd
37. Tamela Holdings Proprietary Limited
38. Trans-Caledon Tunnel Authority (Tcta)
39. UBS South Africa (Pty) Ltd
40. Vunani Sponsors (Pty) Ltd

#### **Silo Owners**

1. AFGRI Operations Limited
2. Allem Brothers (Pty) Ltd
3. Bester Feed And Grain (Pty) Ltd
4. BKB Beperk
5. GWK Limited
6. Kaap Agri Beprek Bpk
7. Keystone Milling Pty Ltd
8. NWK Limited
9. Oos-Vrystaat Kaap Operations
10. Overberg Agri Bedrywe (Pty) Ltf
11. Schoeman Boerdery (Edms) Bpk
12. Sentraal-Suid Koop Bpk
13. Senwes Ltd
14. Suidwes Landbou (Edms) Bpk
15. TWK Agri (Pty) Ltd
16. VKB Landbou (Edms) Bpk

#### **Grain Storage Inspectors and Collateral Managers**

1. Bureau Veritas Testing and Inspections
2. Collateral Management International
3. Drum Commodities Ltd
4. Commodity Inspections Group (CIG)
5. Grain Training Institute
6. SGS South Africa (Pty) Ltd



### **Fund Administrators and Fund Services**

1. Curo
2. Maitland
3. Prescient
4. Risk Café
5. Sanne
6. Realfin

### **Buy side (including asset managers, financial service providers, pension and hedge funds)**

- <https://www.asisa.org.za/about-us/membership/>
- <https://www.fsca.co.za/Regulated%20Entities/Pages/List-Regulated-Entities-Persons.aspx>

### **Network Service Providers**

1. Business Connexion (BCX)
2. BT Communication Services
3. Dark Fibre Africa
4. EOH Network Solutions
5. Internet Solutions
6. Metrofibre Networkx
7. Liquid Telecom
8. Vodacom SA

### **Essential JSE Vendors**

1. Lorge
2. STT
3. MTS
4. ServiceNow
5. Rogerwilco
6. Fixnetix
7. BCX
8. Dimension Data
9. Deloitte
10. Performanta
11. EchoSP
12. Commvault
13. First Tech
14. Ubusha
15. Oracle
16. Blue Turtle
17. Nexio
18. Apronics
19. Millinium IT

20. EOH
21. Datacentrix
22. IBM South Africa
23. Internet Solutions
24. GoldenSource
25. Microsoft
26. Nasdaq
27. Mint Group
28. Refinitiv
29. MCI
30. DDIA
31. PBS
32. ESC
33. LawTrust
34. DRS
35. Melco Lifts
36. Tempest Fire
37. Servest Cleaning
38. Burgess Plumbing
39. Chill Out Services
40. ICAS
41. Discovery
42. Lexus Nexus
43. Corpconnect
44. Sage
45. G-Pay